

Factorization Algebras vs AQFT

Marco Perin

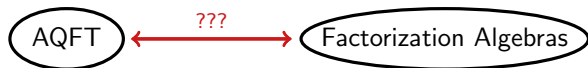
School of Mathematical Sciences, University of Nottingham



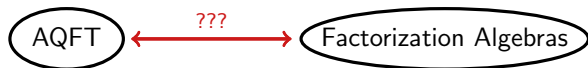
LQP44, 25-26th October 2019, Göttingen

Joint work with M. Benini and A. Schenkel [Commun. Math. Phys. (2019)]

Introduction

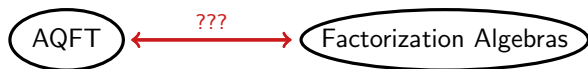


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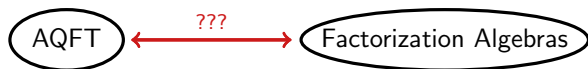
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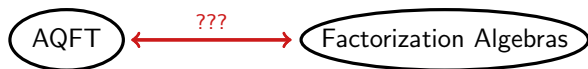
Theorem (Benini,MP,Schenkel)

There exists an equivalence

$$\mathbf{tPFA}^{\text{add,c}} \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\sim} \end{array} \mathbf{AQFT}^{\text{add,c}}$$

*between the category of **Cauchy constant additive time-orderable prefactorization algebras** on **Loc** and the category of **Cauchy constant additive AQFTs** on **Loc**.*

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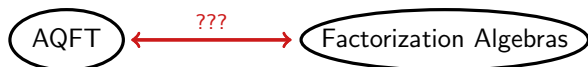
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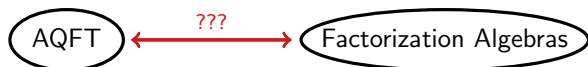
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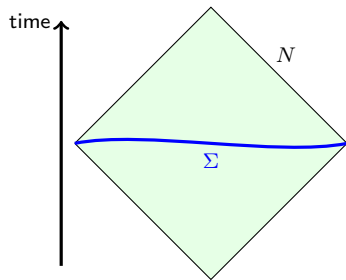
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Loc and nomenclature

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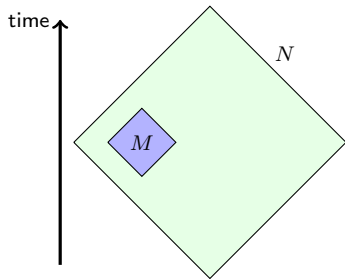
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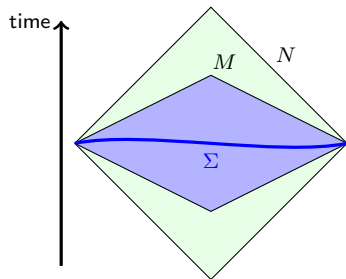
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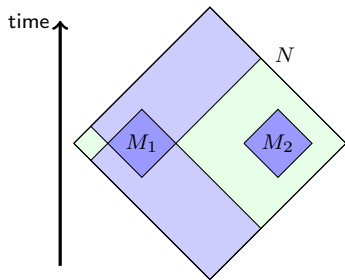


- ◇ We give a special name to the following tuples **Loc**-morphisms:
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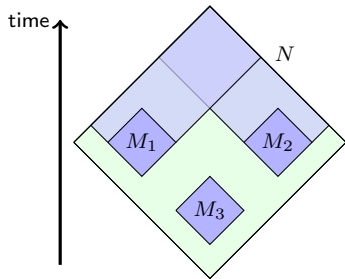


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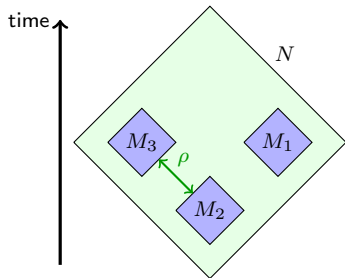


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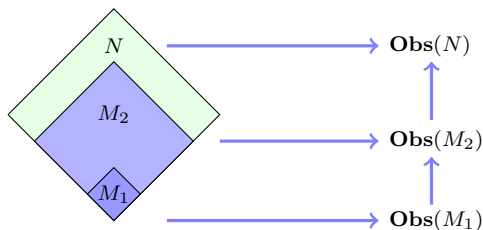
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 - Time-orderable tuple:** $\underline{f} : \underline{M} \rightarrow N$ s.t. there exists $\rho \in \Sigma_n$ (**time-ordering permutation**) with $\underline{f}\rho = (f_{\rho(1)}, \dots, f_{\rho(n)}) : \underline{M}\rho \rightarrow N$ time-ordered

An intuitive idea: AQFT and tPFA

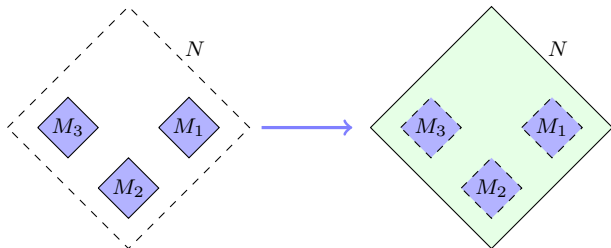
Roughly speaking a **QFT** on a Lorentzian manifold is an assignment of observables to open causally convex subsets in a functorial way.



In addition to assigning observables a **QFT** should come equipped with a rule on how to multiply certain observables. There exist different axiomatizations: **tPFA** and **AQFT**.

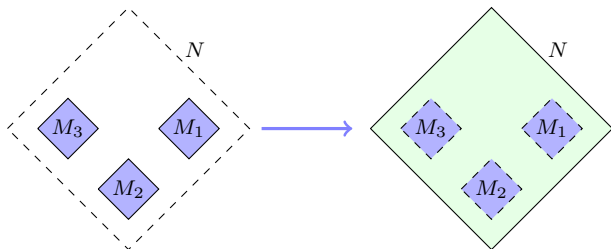
An intuitive idea: AQFT and tPFA

- ◇ A **tPFA** assigns to every spacetime a **vector space** of observables and to every time-orderable tuple $f : \underline{M} \rightarrow N$ a **factorization product** $\bigotimes_{i=1}^n \mathbf{Obs}(M_i) \rightarrow \mathbf{Obs}(N)$.



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- ◇ An **AQFT** assigns to every spacetime M an object of $\mathbf{Alg}_{\text{As}}(\mathbf{Vec}_{\mathbb{K}})$, i.e. $\mathbf{Obs}(M)$ comes endowed with a product μ and a unit η .

tPFA

Def: Denote by **tPFA** the following category:

◇ **Objects:** the **tPFAs** on **Loc**. A **tPFA** \mathfrak{F} on **Loc** is given by the following data:

- (1) it assigns to each $M \in \mathbf{Loc}$, an object $\mathfrak{F}(M) \in \mathbf{Vec}_{\mathbb{K}}$
- (2) for each time-orderable $f : \underline{M} \rightarrow N$, a \mathbb{K} -linear map $\mathfrak{F}(f) : \bigotimes_{i=1}^n \mathfrak{F}(M_i) \rightarrow \mathfrak{F}(N)$ (factorization product), with $\mathfrak{F}(\emptyset \rightarrow N) : \mathbb{K} \rightarrow \mathfrak{F}(N)$ for empty tuples,

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◇ **Morphisms:** A morphism $\zeta : \mathfrak{F} \rightarrow \mathfrak{G}$ is a family of linear maps $\zeta_M : \mathfrak{F}(M) \rightarrow \mathfrak{G}(M)$, for all $M \in \mathbf{Loc}$, that is compatible with the factorization products, i.e. $\zeta_N \circ \mathfrak{F}(f) = \mathfrak{G}(f) \circ \bigotimes_i \zeta_{M_i}$, for all $f : \underline{M} \rightarrow N$.

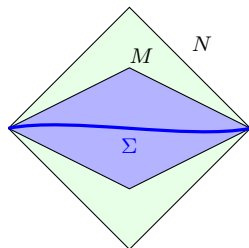
The meaning of c and add

Def: $\mathfrak{F} \in \mathbf{tPFA}$ is called **Cauchy constant** if

$\mathfrak{F}(f) : \mathfrak{F}(M) \xrightarrow{\cong} \mathfrak{F}(N)$ is isomorphism for all
Cauchy morphisms $f : M \rightarrow N$.

- ◇ In particular the observables of N are fully determined by those of M . This condition should be thought as encoding a concept of **time evolution**.

We denote by \mathbf{tPFA}^c the full subcategory of \mathbf{tPFA}
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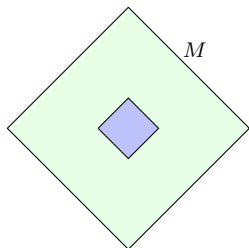
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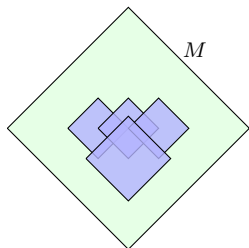
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$\mathfrak{F} \in \mathbf{tPFA}$ is called **additive** if

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is isomorphism, for all $M \in \mathbf{Loc}$.



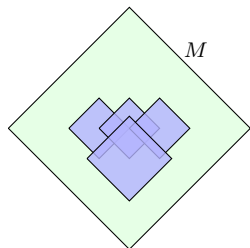
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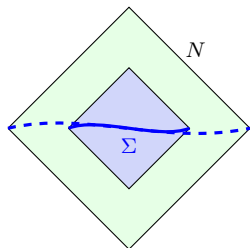
Denote by $\mathbf{tPFA}^{\text{add}} \subseteq \mathbf{tPFA}$ the full subcategory of additive \mathbf{tPFA} s and by $\mathbf{tPFA}^{\text{add},c} \subseteq \mathbf{tPFA}$ the full subcategory of Cauchy constant additive \mathbf{tPFA} s.

The meaning of c and *add*

- ◇ Additivity should be thought as encoding a concept of **compact support for observables**. This meaning that the observables on the whole region are determined by those on the relatively compact ones (this is to avoid issues at 'infinity').

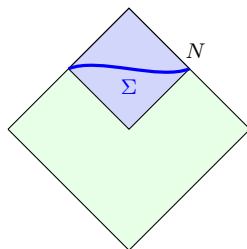
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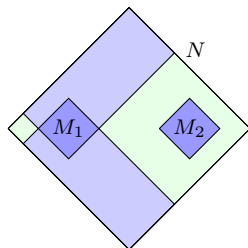
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- ◇ From a technical point of view we need relative compactness because we want to be able to extend Cauchy hypersurfaces.
- ◇ This is not possible in general.



AQFT

- ◇ The category **AQFT** is defined by the following data:
- ◇ **Objects:** Are the **AQFTs** on **Loc**. An **AQFT** on **Loc** is a functor $\mathfrak{A} : \mathbf{Loc} \rightarrow \mathbf{Alg} := \mathbf{Alg}_{\mathbf{As}}(\mathbf{Vec})$ satisfying the **Einstein causality axiom**: For causally disjoint $(f_1 : M_1 \rightarrow N, f_2 : M_2 \rightarrow N)$,

$$\begin{array}{ccc} \mathfrak{A}(M_1) \otimes \mathfrak{A}(M_2) & \xrightarrow{\mathfrak{A}(f_1) \otimes \mathfrak{A}(f_2)} & \mathfrak{A}(N) \otimes \mathfrak{A}(N) \\ \mathfrak{A}(f_1) \otimes \mathfrak{A}(f_2) \downarrow & & \downarrow \mu_N^{\text{op}} \\ \mathfrak{A}(N) \otimes \mathfrak{A}(N) & \xrightarrow{\mu_N} & \mathfrak{A}(N) \end{array}$$

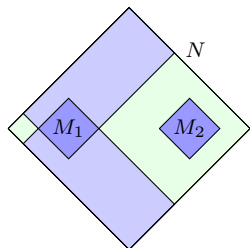


- ◇ Any two spacelike separated observables commute with each other

AQFT

- ◇ The category **AQFT** is defined by the following data:
- ◇ **Objects:** Are the **AQFTs** on **Loc**. An **AQFT** on **Loc** is a functor $\mathfrak{A} : \mathbf{Loc} \rightarrow \mathbf{Alg} := \mathbf{Alg}_{\mathbf{As}}(\mathbf{Vec})$ satisfying the **Einstein causality axiom**: For causally disjoint $(f_1 : M_1 \rightarrow N, f_2 : M_2 \rightarrow N)$,

$$\begin{array}{ccc}
 \mathfrak{A}(M_1) \otimes \mathfrak{A}(M_2) & \xrightarrow{\mathfrak{A}(f_1) \otimes \mathfrak{A}(f_2)} & \mathfrak{A}(N) \otimes \mathfrak{A}(N) \\
 \mathfrak{A}(f_1) \otimes \mathfrak{A}(f_2) \downarrow & & \downarrow \mu_N^{\text{op}} \\
 \mathfrak{A}(N) \otimes \mathfrak{A}(N) & \xrightarrow{\mu_N} & \mathfrak{A}(N)
 \end{array}$$



- ◇ Any two spacelike separated observables commute with each other
- ◇ **Morphisms:** given by natural transformations.
- ◇ Cauchy constancy and additivity can be also defined for **AQFTs**. Denote the corresponding full subcategories by \mathbf{AQFT}^c , $\mathbf{AQFT}^{\text{add}}$ and $\mathbf{AQFT}^{\text{add},c}$.

$\mathbf{AQFT}^{\text{add},c} \rightarrow \mathbf{tPFA}^{\text{add},c}$

It is pretty straightforward and there is no need for Cauchy constancy and additivity at this level.

- ◇ Let $\mathfrak{A} \in \mathbf{AQFT}$. We want to define a corresponding $\mathfrak{F}_{\mathfrak{A}} \in \mathbf{tPFA}$.

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(1) $\mathfrak{F}_{\mathfrak{A}}(M) = \mathfrak{A}(M)$

(2) For time-orderable $\underline{f} : \underline{M} \rightarrow N$ with time-ordering permutation $\rho \in \Sigma_n$, define the factorization product $\mathfrak{F}_{\mathfrak{A}}(\underline{f}) : \bigotimes_{i=1}^n \mathfrak{A}(M_i) \rightarrow \mathfrak{A}(N)$ by

$$\begin{array}{ccc} \bigotimes_{i=1}^n \mathfrak{A}(M_i) & \xrightarrow{\mathfrak{F}_{\mathfrak{A}}(\underline{f})} & \mathfrak{A}(N) \\ \text{permute} \downarrow & & \uparrow \mu_N^{(n)} \\ \bigotimes_{i=1}^n \mathfrak{A}(M_{\rho(i)}) & \xrightarrow{\bigotimes_i \mathfrak{A}(f_{\rho(i)})} & \mathfrak{A}(N)^{\otimes n} \end{array}$$

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Rem Notice that the naive idea of defining $\mathfrak{F}_{\mathfrak{A}}(\underline{f})$ as $\mu_N^{(n)} \circ \bigotimes_i \mathfrak{A}(f_i)$ would not work because of the equivariance property of tPFAs. Using the time-ordering is crucial!

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Prop: We obtain a functor $\mathfrak{F}_{(-)} : \mathbf{AQFT} \rightarrow \mathbf{tPFA}$ that restricts to Cauchy constant additive theories $\mathfrak{F}_{(-)} : \mathbf{AQFT}^{\text{add,c}} \rightarrow \mathbf{tPFA}^{\text{add,c}}$.

Comments on the Remark

Rem Suppose you define $\mathfrak{F}_{\mathfrak{A}}(\underline{f})$ as $\mu_N^{(n)} \circ \otimes_i \mathfrak{A}(f_i)$, in particular for $n = 2$ you obtain the following diagram

$$\begin{array}{ccc}
 \bigotimes_{i=1}^2 \mathfrak{A}(M_i) & \xrightarrow{\mathfrak{F}_{\mathfrak{A}}(\underline{f})} & \mathfrak{A}(N) \\
 \text{do NOT permute} \downarrow & & \uparrow \mu_N^{(2)} \\
 \bigotimes_{i=1}^2 \mathfrak{A}(M_i) & \xrightarrow{\otimes_i \mathfrak{A}(f_i)} & \mathfrak{A}(N)^{\otimes 2}
 \end{array}$$

and suppose that M_1, M_2 are NOT causally disjoint. If $\mathfrak{F}_{\mathfrak{A}}$ was a **tPFA** then it would have to satisfy the equivariance axiom:

$$\begin{array}{ccc}
 \bigotimes_{i=1}^2 \mathfrak{F}_{\mathfrak{A}}(M_i) & \xrightarrow{\mathfrak{F}_{\mathfrak{A}}(\underline{f})} & \mathfrak{F}_{\mathfrak{A}}(N) \\
 \text{permute} \downarrow & \nearrow \mathfrak{F}_{\mathfrak{A}}(\underline{f\tau}) & \\
 \bigotimes_{i=1}^2 \mathfrak{F}_{\mathfrak{A}}(M_{\tau(i)}) & &
 \end{array}$$

But this would imply that observables coming from regions that are NOT causally disjoint commute (which is not true in general).

$\mathbf{tPFA}^{\text{add},c} \rightarrow \mathbf{AQFT}^{\text{add},c}$... where things get harder

This is where Cauchy constancy and additivity become crucial.

- ◇ Let $\mathfrak{F} \in \mathbf{tPFA}^{\text{add},c}$. We want to define a corresponding $\mathfrak{A}_{\mathfrak{F}} \in \mathbf{AQFT}$. .

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- ◇ We define $\mathfrak{A}_{\mathfrak{F}}(M) = \mathfrak{F}(M)$ and $\mathfrak{A}_{\mathfrak{F}}(f) = \mathfrak{F}(f)$ for every **Loc**-morphism $f : M \rightarrow N$.

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- ◇ **Problem:** $\mathfrak{F}(M)$ is a vector space and not an algebra. We need multiplication maps $\mu_M : \mathfrak{F}(M) \otimes \mathfrak{F}(M) \rightarrow \mathfrak{F}(M)$ and we need to prove that with this choices of multiplications:
 - (1) $\mathfrak{A}_{\mathfrak{F}}(f)$ are algebra morphisms.
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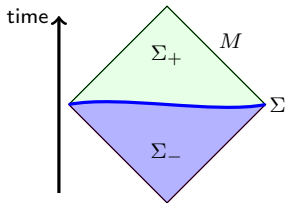
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- Choice of multiplications: Choose Cauchy surface $\Sigma \subset M$, consider chronological future/past part $\Sigma_{\pm} := I_M^{\pm}(\Sigma)$ and define via **Cauchy constancy**

$$\begin{array}{ccc}
 \mathfrak{F}(M) \otimes \mathfrak{F}(M) & \xrightarrow{\mu_M} & \mathfrak{F}(M) \\
 \swarrow \cong & & \nearrow \cong \\
 \mathfrak{F}(\iota_{\Sigma_+}^M) \otimes \mathfrak{F}(\iota_{\Sigma_-}^M) & & \mathfrak{F}(\iota_{\Sigma}^M) \\
 \swarrow & & \nearrow \\
 \mathfrak{F}(\Sigma_+) \otimes \mathfrak{F}(\Sigma_-) & &
 \end{array}$$



Multiplication is independent on the choice of Σ

Def: For $M \in \mathbf{Loc}$, denote by \mathbf{P}_M the category of all pairs $U_{\pm} \subseteq M$ of causally convex open subsets fulfilling the requirements:

- (i) there exists a Cauchy surface $\Sigma \subset M$ s.t. $U_{\pm} \subseteq I_M^{\pm}(\Sigma)$,
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As a consequence, for every $\mathfrak{F} \in \mathbf{tPFA}^c$ and $M \in \mathbf{Loc}$, the multiplication

$$\begin{array}{ccc} \mathfrak{F}(M) \otimes \mathfrak{F}(M) & \xrightarrow{\mu_M} & \mathfrak{F}(M) \\ & \swarrow \cong & \nearrow \mathfrak{F}(\iota_{U_{-}}^M) \\ \mathfrak{F}(\iota_{U_{+}}^M) \otimes \mathfrak{F}(\iota_{U_{-}}^M) & & \mathfrak{F}(U_{+}) \otimes \mathfrak{F}(U_{-}) \end{array}$$

is independent of the choice of $U_{\pm} \in \mathbf{P}_M$.

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Rem: This step does not yet require the additivity property for \mathfrak{F} , but it crucially relies on Cauchy constancy.

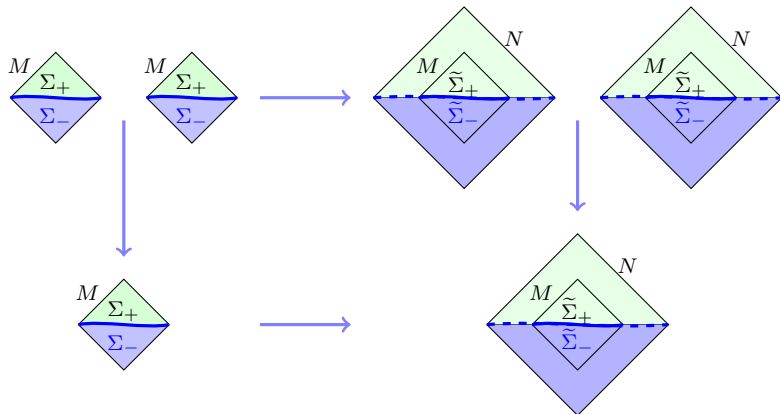
(1) $\mathfrak{A}_{\mathfrak{F}}(f)$ are algebra morphisms

Lem: Let $\mathfrak{F} \in \mathbf{tPFA}^c$ and $f : M \rightarrow N$ be **Loc**-morphism s.t. $f(M) \subseteq N$ is **relatively compact**. Then $\mathfrak{F}(f) : \mathfrak{F}(M) \rightarrow \mathfrak{F}(N)$ preserves units and multiplications, i.e. $\mathfrak{F}(f) \circ \eta_M = \eta_N$ and $\mathfrak{F}(f) \circ \mu_M = \mu_N \circ (\mathfrak{F}(f) \otimes \mathfrak{F}(f))$.

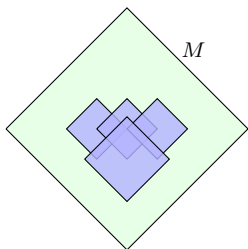
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Rem: The proof uses Bernal/Sanchez to extend Cauchy surfaces, hence it relies on the relatively compact assumption.



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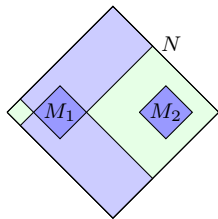


- ◇ If $\mathfrak{F} \in \mathbf{tPFA}^{\text{add},c}$ is also **additive**, $\mathfrak{F}(M) \cong \text{colim}(\mathfrak{F}|_M : \mathbf{RC}_M \rightarrow \mathbf{Vec})$ is 'generated' from relatively compact subsets, which allows us to prove:

Prop: $\mathfrak{A}_{(-)}$ defines a functor $\mathbf{tPFA}^{\text{add},c} \rightarrow \mathbf{Fun}(\mathbf{Loc}, \mathbf{Alg})$.

(2) Einstein causality

Lem: Let $\mathfrak{F} \in \mathbf{tPFA}^c$ and $(f_1 : M_1 \rightarrow N, f_2 : M_2 \rightarrow N)$ causally disjoint s.t. both $f_1(M_1), f_2(M_2) \subseteq N$ are **relatively compact**. In this case $\mathfrak{A}_{\mathfrak{F}} : \mathbf{Loc} \rightarrow \mathbf{Alg}$ satisfies Einstein causality, i.e. $\mu_N \circ (\mathfrak{F}(f_1) \otimes \mathfrak{F}(f_2)) = \mu_N^{\text{op}} \circ (\mathfrak{F}(f_1) \otimes \mathfrak{F}(f_2))$.

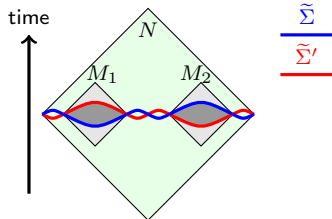


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Rem: Relatively compactness is again crucial to extend Cauchy surfaces!

- ◇ The key steps to prove Einstein causality are:
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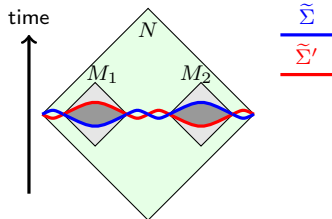
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- ◇ The key steps to prove Einstein causality are:
 - (1) to find two Cauchy surfaces of N with opposite time-order when restricted to M_1 and M_2
 - (2) use the equivariance axiom.
- ◇ Using additivity we can then prove that:

Prop: $\mathfrak{A}_{(-)}$ defines a functor $\mathbf{tPFA}^{\text{add},c} \rightarrow \mathbf{AQFT}^{\text{add},c}$.



Summary of the Main Equivalence Theorem

Theorem (Benini,MP,Schenkel)

The two functors

- ◇ $\mathfrak{F}_{(-)} : \mathbf{AQFT}^{\text{add,c}} \rightarrow \mathbf{tPFA}^{\text{add,c}}$, and
- ◇ $\mathfrak{A}_{(-)} : \mathbf{tPFA}^{\text{add,c}} \rightarrow \mathbf{AQFT}^{\text{add,c}}$

described before are inverses of each other. Hence, they define an equivalence (which, to be honest, is an isomorphism)

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*between the category of **Cauchy constant additive time-orderable prefactorization algebras** on **Loc** and the category of **Cauchy constant additive AQFTs** on **Loc**.*

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Thanks for your attention!