Sigma models in algebraic QFT

Gandalf Lechner partly joint work with Sabina Alazzawi Stefan Hollands Local Quantum Physics and Beyond In Memoriam Rudolf Haag

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Algebraic QFT

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- Book "Local Quantum Physics Fields, Particles, Algebras"
- Describes QFT via families of *local algebras*

 $\mathcal{O}\longmapsto \mathcal{A}(\mathcal{O})$

instead of quantum fields



1 time



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Study maps $\mathcal{O} \mapsto \mathcal{A}(\mathcal{O})$ of spacetime regions to von Neumann algebras.

"Axioms":

- Isotony: Inclusions of regions give inclusions of algebras
- Locality: Algebras of spacelike separated regions commute
- Covariance: The isometry group of spacetime acts covariantly by automorphisms
- further axioms regarding states (vacuum)

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AQFT has led to deep conceptual insights. Examples:

- Doplicher-Haag-Roberts theory of localized charges / global gauge theories
- Haag-Ruelle scattering theory
- Formulation of thermal equilibrium (KMS) states [Haag, Hugenholtz, Winnink '67]

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There now exist several programmes aiming at building models with the tools of AQFT:

- perturbative AQFT
 → talk by Rejzner
- conformal AQFT
 → talk by Longo
- AQFT on curved or quantum spacetimes

 \rightarrow talks by Doplicher, Gérard

low-dimensional AQFT models
 → this talk

Mathematical Physics Studies Romeo Brunetti **Claudio Dappiaggi** Klaus Fredenhagen Jakob Yngvason Editors Advances in Algebraic Quantum **Field Theory** Springer

Starting point: interaction-free models

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free QFT = particle spectrum + localization + second quantization

• particle spectrum: fixed by representation U_1 of Poincaré group *(masses, spins)*, and representation V_1 of global gauge group *(charges)* on a single particle Hilbert space \mathcal{H}_1 . This also defines a single particle TCP operator $\mathcal{J}_1 = U_1(-1) \otimes \Gamma_1$.

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Example: d = 1 + 1, massive irreducible rep U_1 , gauge group arbitrary. In this case, we have

 $\mathcal{H}_1 = L^2(\mathbb{R}, d\theta) \otimes \mathcal{K}, \qquad (\Delta^{it}\psi)(\theta) = \psi(\theta - 2\pi t), \qquad (\mathcal{J}_1\psi)(\theta) = \Gamma_1\psi(\theta)$ and H(W) = Hardy space $H^2 \otimes \mathcal{K} \subset L^2 \otimes \mathcal{K}$ on the strip $0 < \operatorname{Im}(\theta) < \pi$ satisfying

$$h(heta+i\pi)=\Gamma_1h(heta)\,,\qquad heta\in\mathbb{R}\,.$$

$$H(\bigcap_i W_i) = \bigcap_i H(W_i).$$

- If U₁ has positive energy, this always leads to a meaningful concept of localization of vectors [Brunetti, Guido, Longo].
- A free QFT (with localized *algebras*) follows by second quantization: $\mathcal{A}(\mathcal{O}) = \{e^{i(a^{\dagger}(h) + a(h))} : h \in H(\mathcal{O})\}'', \qquad a, a^{\dagger} : CCR.$

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Free QFT is the basis for constructions of *interacting* QFTs.

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$$\begin{aligned} a_{\mu}(\theta)a_{\nu}(\theta') &= a_{\nu}(\theta')a_{\mu}(\theta) \\ a_{\mu}(\theta)a_{\nu}^{\dagger}(\theta') &= a_{\nu}^{\dagger}(\theta')a_{\mu}(\theta) + \delta_{\mu\nu}\delta(\theta - \theta') \cdot 1 \end{aligned}$$

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µ, ν, ...: labels basis in K = C^N
R(θ): Unitary map K ⊗ K → K ⊗ K

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More general deformations conceivable, but this is the easiest case

• Associativity of algebra of the *a*, a^{\dagger} requires *R* to solve the Yang-Baxter equation (on $\mathcal{K} \otimes \mathcal{K} \otimes \mathcal{K}$):

 $(R_{\theta} \otimes 1)(1 \otimes R_{\theta+\theta'})(R_{\theta'} \otimes 1) = (1 \otimes R_{\theta'})(R_{\theta+\theta'} \otimes 1)(1 \otimes R_{\theta}).$

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Further requirements on *R*:

- *R* must be Poincaré invariant and gauge invariant (commute with $U_1 \otimes U_1$ and $V_1 \otimes V_1$), including TCP invariance.
- *R* must be *crossing symmetric*: $\theta \mapsto R(\theta)$ analytically extends to $0 < \text{Im}(\theta) < \pi$ and

 $\langle \xi \otimes \psi, R(i\pi - \theta) (\varphi \otimes \xi') \rangle_{\mathcal{K} \otimes \mathcal{K}} = \langle \psi \otimes \Gamma_1 \xi', R(\theta) (\Gamma_1 \xi \otimes \varphi) \rangle_{\mathcal{K} \otimes \mathcal{K}}.$

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Will mainly focus on two examples: G = O(N) and G = O(N, 1)

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These will lead to O(N)- and O(N, 1)- Sigma models

Vacuum representations and wedge-locality

We define a *vacuum state* ω on the algebra CCR_R of the "deformed" creation/annihilation operators:

$$\omega(\cdots a_R) = 0 = \omega(a_R^{\dagger} \cdots), \qquad \omega(1) = 1.$$

Theorem

Let R be a crossing-symmetric G-invariant Yang-Baxter operator, and let $(\pi, \mathcal{H}, \Omega, U, V)$ be the GNS representation of CCR_R w.r.t. ω . Then the von Neumann algebra

$$\mathcal{M}_R := \{e^{i(\pi(a_R^{\dagger}(h) + a_R(h))} : h \in H(W)\}'' \subset \mathcal{B}(\mathcal{H})$$

is localized in the wedge W in the sense that

- $U(x,\lambda)\mathcal{M}_R U(x,\lambda)^{-1} \subset \mathcal{M}_R$ for $x \in W$
- Vacuum Ω is cyclic and separating for \mathcal{M}_R

Any such wedge algebra is a germ of a full QFT.

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The O(N) model

Take G = O(N) in its defining representation on \mathbb{C}^N .

 $R(\theta) : \mathbb{C}^N \otimes \mathbb{C}^N \to \mathbb{C}^N \otimes \mathbb{C}^N$ is essentially fixed by invariance, YBE and crossing:

$$R(\theta) = \sigma_1(\theta) Q + \sigma_2(\theta) 1 + \sigma_3(\theta) F$$

with F =tensor flip, Q a 1-dim O(N)-invariant projection, and

$$\begin{split} \sigma_2(\theta) &= \frac{\Gamma\left(\frac{1}{N-2} - i\frac{\theta}{2\pi}\right)\Gamma\left(\frac{1}{2} - i\frac{\theta}{2\pi}\right)\Gamma\left(\frac{1}{2} + \frac{1}{N-2} + i\frac{\theta}{2\pi}\right)\Gamma\left(1 + i\frac{\theta}{2\pi}\right)}{\Gamma\left(\frac{1}{2} + \frac{1}{N-2} - i\frac{\theta}{2\pi}\right)\Gamma\left(-i\frac{\theta}{2\pi}\right)\Gamma\left(1 + \frac{1}{N-2} + i\frac{\theta}{2\pi}\right)\Gamma\left(\frac{1}{2} + i\frac{\theta}{2\pi}\right)},\\ \sigma_1(\theta) &= -\frac{2\pi i}{N-2} \cdot \frac{\sigma_2(\theta)}{i\pi - \theta},\\ \sigma_3(\theta) &= \sigma_1(i\pi - \theta). \end{split}$$

This is Zamolodchikov's O(N)-invariant two-particle S-matrix.

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The O(d, 1) model

Take G = O(d, 1) = Lorentz group in d + 1 dimensions in a principal or complementary series irrep V_1 on \mathcal{K} .

K can be realized as a space of homogeneous functions on a light cone

$$C_{d}^{+} = \{P \in \mathbb{R}^{d+1} : P \cdot P = 0 \quad P_{0} > 0\},\$$
$$\mathcal{K}_{\nu} = \{\psi : C_{d}^{+} \to \mathbb{C} : \psi(\lambda \cdot P) = \lambda^{-\frac{d-1}{2} - i\nu} \cdot \psi(P), \lambda > 0\}$$

 ν : complex parameter.

- $SO_+(d, 1)$ acts by $(V_\nu(\Lambda)\psi)(P) = \psi(\Lambda^{-1}P).$
 - For certain ν , find scalar product on \mathcal{K}_{ν} such that V_{ν} is unitary: □ principal series: $\nu \in \mathbb{R}$.
 - \Box complementary series: $i\nu \in (0, \frac{d-1}{2})$
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$$C_{d}^{+} = \{P \in \mathbb{R}^{d+1} : P \cdot P = 0 \quad P_{0} > 0\},\$$
$$\mathcal{K}_{\nu} = \{\psi : C_{d}^{+} \to \mathbb{C} : \psi(\lambda \cdot P) = \lambda^{-\frac{d-1}{2} - i\nu} \cdot \psi(P), \lambda > 0\} \quad C_{d}^{+}$$

 ν : complex parameter.

- $SO_+(d, 1)$ acts by $(V_\nu(\Lambda)\psi)(P) = \psi(\Lambda^{-1}P).$
 - For certain ν , find scalar product on \mathcal{K}_{ν} such that V_{ν} is unitary: □ principal series: $\nu \in \mathbb{R}$.
 - \Box complementary series: $i\nu \in (0, \frac{d-1}{2})$
 - \Box discrete series: $i\nu \in (0, \frac{d-1}{2}) + \mathbb{N}_0$

Some representation theory of $SO_+(d, 1)$

To define a scalar product on \mathcal{K}_{ν} , pick an "orbital base" *B* and the (d-1)-form

$$\omega = \sum_{k=1}^{d} (-1)^{k+1} \frac{P_k}{P_0} \, dP_1 \wedge \ldots \wedge \widehat{dP_k} \wedge \ldots \wedge dP_d \, .$$

For principal series, define inner product $(\psi_1, \psi_2)_{\nu} := \int_B \omega \, \overline{\psi_1} \, \psi_2$. -> makes V_{ν} unitary.



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Three canonical choices for B:

- a) **flat base**, $B = C_d^+ \cap$ (lightlike plane)
- b) **spherical base**, $B = C_d^+ \cap$ (spacelike plane)
- c) **hyperbolic base**, $B = C_d^+ \cap$ (two parallel timelike planes)





■ Take complementary series rep $u \in i(0, rac{d-1}{2})$ and "flat base" B of C_d^+



• *B* parameterized as $\mathbb{R}^{d-1} \ni \mathbf{x} \mapsto P(\mathbf{x}) = (\frac{1}{2}(|\mathbf{x}|^2 + 1), \mathbf{x}, \frac{1}{2}(|\mathbf{x}|^2 - 1))$

Representation space \mathcal{K}_{ν} has scalar product

$$(f,g) = c_{
u} \int d^d x \int d^d y \overline{f(x)} |x-y|^{-2s} g(y)$$

 $s = \frac{d-1}{2} - i\nu \in (0, \frac{d-1}{2}).$

• V_{ν} acts as Euclidean conformal group of \mathbb{R}^{d-1} in *x*-variable

$$(V_{\nu}(\Lambda)f)(\mathbf{x}) = Y_{\Lambda}(\mathbf{x})^{-\frac{d-1}{2}-i\nu} \cdot f(\Lambda \cdot \mathbf{x})$$

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$SO_+(d, 1)$ -invariant *R*-matrices

An "*R*-matrix" for the representations V_{ν} of SO(d, 1) is an integral operator

 $R(\theta): \mathcal{K}_{\nu} \otimes \mathcal{K}_{\nu} \to \mathcal{K}_{\nu} \otimes \mathcal{K}_{\nu} .$

Theorem

Consider a principal or complementary series representation, and the integral kernels

 $R_{\theta}(P_1, P_2; Q_1, Q_2) = \sigma(\theta) (P_1 P_2)^{-i\theta - i\nu} (P_1 Q_1)^{-\frac{d-1}{2} + i\theta} (P_2 Q_2)^{-\frac{d-1}{2} + i\theta} (Q_1 Q_2)^{-i\theta + i\nu}$

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- Form of *R* essentially fixed by invariance, unitarity, YBE, and crossing.
- Proof of YBE, crossing, ... relies on relations known from analysis of de Sitter Feynman diagrams [Hollands 2012 + Marolf/Morrison 2011], [Hollands 2013]
- Using flat model and principal series reps, YBE was already shown by [Chicherin, Derkachov, Isaev 2001]

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- Elements of intersections of opposite wedge algebras are characterized only indirectly. *Existence*?

Theorem: *If* there exist non-trivial local operators, then this construction yields an integrable two-dimensional QFT.

In that case, *R* represents the two-particle scattering operator of Haag-Ruelle theory, and the theory is even *asymptotically complete*.

Modular nuclearity

A sufficient criterion for the existence of local observables exists:

 Theorem: [Buchholz/GL] If the modular nuclearity condition of Buchholz-D'Antoni-Longo holds, then "many" local observables exist (cyclic vacuum for double cones). This means that

$$\mathcal{M}_R \ni A \longmapsto \Delta^{1/4}_{(\mathcal{M},\Omega)} U(x) A\Omega, \qquad x \in W,$$

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should be a nuclear map between Banach spaces.

In this case, the inclusions $U(x)\mathcal{M}_R U(x)^{-1} \subset \mathcal{M}_R$, $x \in W$, are split (cf. *Rédei's talk*)

A single particle illustration of modular nuclearity

Consider the Hardy space $H^2 \subset L^2$, and the operator

$$\begin{split} \Delta^{1/4} U(\mathbf{x}) &: H^2 \subset L^2 \to L^2 \\ (\Delta^{1/4} U(\mathbf{x}) \psi)(\theta) &= e^{-m(x_+ e^\theta - x_- e^{-\theta})} \cdot \psi(\theta + \frac{i\pi}{2}) \end{split}$$

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which is unbounded.

But if H^2 is completed in the graph norm of $\Delta^{1/2}$ to a Hilbert space (i.e., with scalar product

$$\langle \psi, \varphi \rangle' := \frac{1}{2} \int d\theta \, \left(\overline{\psi(\theta)} \varphi(\theta) + \overline{\psi(\theta + i\pi)} \varphi(\theta + i\pi) \right)$$

), then the operator $\Delta^{1/4} U(x)$ is "almost finite-dimensional" (*s-class*), and in particular nuclear.
Modular Nuclearity in the O(N)-model

In the O(N)-model, we have a proof of "*n*-particle nuclearity" based on complex analysis of *n*-particle wedge-local wavefunctions.

To conclude modular nuclearity / split, we need in addition the so-called "intertwiner property" (an analytic intertwining between two representations of the symmetric/braid group)

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Conclusion on O(d, 1)-models:

- The construction of the *O*(*N*)-sigma models by methods in AQFT is almost complete.
- If the intertwiner property holds, the emerging QFT satisfies the axioms of Haag-Kastler, has the factorizing S-matrix calculated by the Zamolodchikov's, and is asymptotically complete.
- The open intertwiner problem is related to analysis of holomorphic solutions of Yang-Baxter and braid group representations.

For the O(d, 1)-invariant R-matrices, we may build from the same data two different models:

- A O(d, 1) sigma model, describing a field on \mathbb{R}^2 (or on a lightray) with de Sitter target space $dS_d = SO(d, 1)/SO(d)$
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- The second version is based on single particle space $\mathbb{C}^N \otimes \mathcal{K}_{\nu}$, and a choice of *N* numbers $\theta_1, ..., \theta_N \in \mathbb{R}$. The *R*-matrix is

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Analogous procedure as before yields *R*-deformed CCR operators $a_k(x)$, k = 1, ..., N, such that

$$\begin{aligned} a_i^{\dagger}(\mathbf{x}_1)a_j(\mathbf{x}_2) &- \mathcal{R}_{\theta_i - \theta_j} a_j(\mathbf{x}_2)a_i^{\dagger}(\mathbf{x}_1) = c_{\nu}\delta_{ij} \cdot |\mathbf{x}_1 - \mathbf{x}_2|^{-2s} \\ a_i^{\dagger}(\mathbf{x}_1)a_j^{\dagger}(\mathbf{x}_2) - \mathcal{R}_{\theta_i - \theta_j} a_j^{\dagger}(\mathbf{x}_2)a_i^{\dagger}(\mathbf{x}_1) = 0 . \end{aligned}$$
with $s = \frac{d-1}{2} - i\nu$.

- GNS representation w.r.t. a "vacuum state" yield representation space on which conformal symmetry group of ℝ^{*d*-1} acts
- Fields φ_j(x) = z[†]_j(x) + z_j(x) are covariant under V_ν, but not "local" (in the sense of permutation symmetric correlation functions) because of the R(θ_i θ_j).

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Conclusion on O(d, 1)-models:

- SO(d, 1)-invariant crossing-symmetric Yang-Baxter operators exist and yield different QFT models: SO(d, 1)-sigma models and Eucl. CFT on R^{d-1}.
- Both cases are generated by non-local fields, but might have also have local fields.
- The two models are related by the same input data (*R*, *V*). Currently we do not have a more direct link.
- The CFTs come with a discretization parameter *N*. Might give rise to a dS/CFT correspondence in the limit $N \rightarrow \infty$.